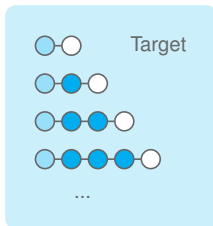
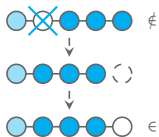


# What do you do if a computational object fails a specification?

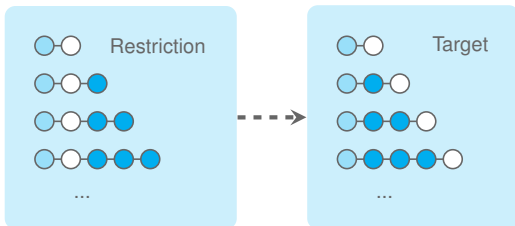


We have studied this problem over [words](#):

1. “Regular repair of specifications”, in LICS 2011.
2. “The cost of traveling between languages”, in ICALP 2011.

We study this problem over XML Documents (trees).

# What do you do if a computational object fails a specification?



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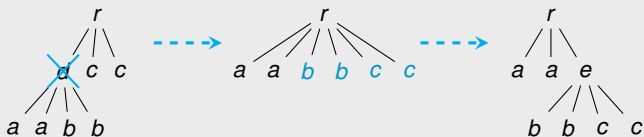
Can we repair each XML document with  
an uniformly bounded number of modifications?

### Bounded Repair Problem

#### Example

$R:$   $r \rightarrow d c^*$   
 $d \rightarrow a^* b^*$   
 $a \rightarrow \text{EMPTY}$   
 $b \rightarrow \text{EMPTY}$   
 $c \rightarrow \text{EMPTY}$

$T:$   $r \rightarrow a^* e$   
 $e \rightarrow b^* c^*$   
 $a \rightarrow \text{EMPTY}$   
 $b \rightarrow \text{EMPTY}$   
 $c \rightarrow \text{EMPTY}$



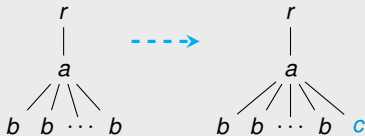
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### Bounded Repair Problem

Example

$R'$ :  $r \rightarrow a$   
 $a \rightarrow b^*$   
 $b \rightarrow \text{EMPTY}$

$T'$ :  $r \rightarrow a$   
 $a \rightarrow b^*, c$   
 $b \rightarrow \text{EMPTY}$   
 $c \rightarrow \text{EMPTY}$



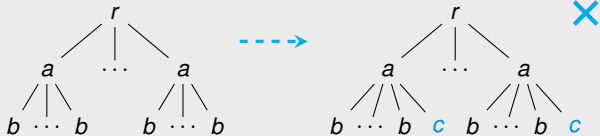
Can we repair each XML document with  
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### Bounded Repair Problem

Example

$R'$ :  $r \rightarrow a^*$   
 $a \rightarrow b^*$   
 $b \rightarrow \text{EMPTY}$

$T'$ :  $r \rightarrow a^*$   
 $a \rightarrow b^*, c$   
 $b \rightarrow \text{EMPTY}$   
 $c \rightarrow \text{EMPTY}$



Can we repair each XML document with  
an uniformly bounded number of modifications?

### Bounded Repair Problem

#### Example

$R''$ :  $r \rightarrow a, d$   
 $a \rightarrow a \mid \text{EMPTY}$   
 $d \rightarrow b, c^*$   
 $b \rightarrow a$   
 $c \rightarrow \text{EMPTY}$

$T''$ :  $r \rightarrow d, c^*$   
 $d \rightarrow a, a$   
 $a \rightarrow a \mid b$   
 $b \rightarrow \text{EMPTY}$   
 $c \rightarrow \text{EMPTY}$

---

?

?

?

?

We give an **effective characterization** for bounded repairability for every pair of regular tree languages

1. Effective characterization based on:
  - ▶ strongly connected components and
  - ▶ tree representation for the cyclic behavior of tree automata.
2. Decidability of the bounded repair problem.
  - ▶ Between *EXPTIME* and  $\Pi_2^{EXP}$ .
  - ▶ Complexity analysis for other subcases.

# Bounded repairability for regular tree languages

**Cristian Riveros**

University of Oxford

**Gabriele Puppis**

CNRS/LaBRI Bordeaux

**Slawek Staworko**

University of Lille

ICDT 2012



# Outline

Problem definition

Characterization tools

Characterization and proof

Concluding remarks

# Outline

**Problem definition**

Characterization tools

Characterization and proof

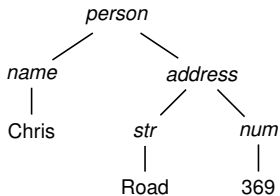
Concluding remarks

# Trees and regular tree languages

## XML Documents

```
<person>
  <name> Chris </name>
  <address>
    <str> Road </str>
    <num> 369 </num>
  </address>
</person>
```

## Unranked trees over $\Sigma$



---

## XML Schemas: $D$

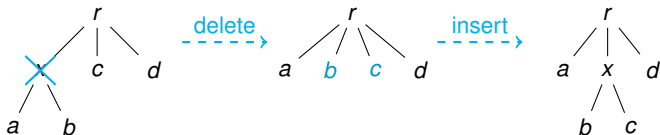
$$\mathcal{L}(D) = \{t \in \text{XML} \mid t \models D\}$$

## Unranked tree automata: $T$

$$\mathcal{L}(T) = \{t \in \text{Trees}(\Sigma) \mid T \text{ accepts } t\}$$

# Edit operations over trees

Edit operations: **deletion**, **insertion**, and **relabeling**.



All operations have equal cost.

## Definition

For trees  $t, t'$  and tree language  $T$ :

$\text{dist}(t, t')$  = shortest sequence of operations that transform  $t$  into  $t'$

$\text{dist}(t, T) = \min_{t' \in T} \{ \text{dist}(t, t') \}$

# Bounded repair problem

## Definition

Given unranked tree automata  $\mathcal{R}$  (restriction) and  $\mathcal{T}$  (target), determine if there exists a **uniform bound**  $N \in \mathbb{N}$  such that:

$$\text{dist}(t, L(\mathcal{T})) \leq N \quad \text{for all } t \in L(\mathcal{R})$$

Generalization of language containment.

# Outline

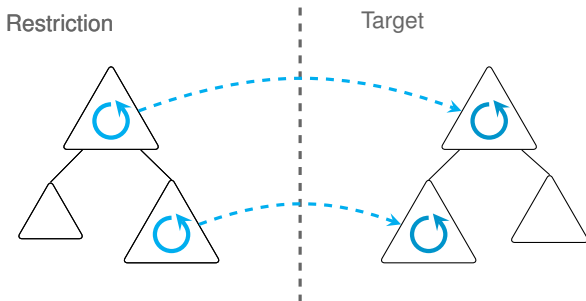
Problem definition

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# How to repair trees? (intuition)



## 1. Cyclic behavior:

- ▶ Stepwise tree automata over curry encoding of trees.
- ▶ Strongly connected components of stepwise tree automata.
- ▶ Tree representation of cyclic behavior (Synopsis trees).

## 2. Mapping:

- ▶ Covering relation between synopsis trees.

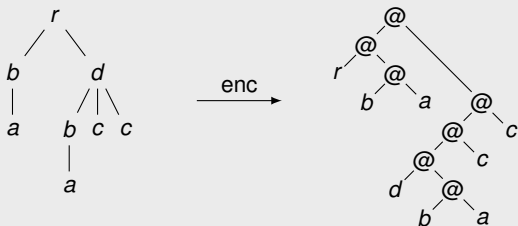
# Curry encoding

## Definition

The curry encoding of an unranked tree over  $\Sigma$  is a **complete binary tree** that has two types of nodes:

- Internal nodes: @.
- Leaf nodes:  $\Sigma$ .

## Example

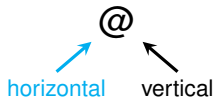




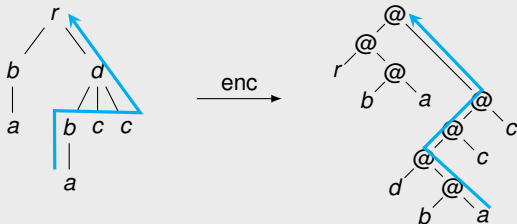
# Curry encoding

Definition

$$\begin{aligned} \text{enc}(a) &= a \\ \text{enc}\left(t_1 \begin{array}{c} \diagup a \diagdown \\ \dots \\ \end{array} t_n\right) &= @\left(\text{enc}\left(t_1 \begin{array}{c} \diagup a \diagdown \\ \dots \\ \end{array} t_{n-1}\right), \text{enc}(t_n)\right) \end{aligned}$$



Example



# Stepwise tree automata

## Definition

A **stepwise (tree) automata** is a tuple  $\mathcal{A} = (Q, \Sigma, \delta, \delta_0, F)$  such that:

1.  $\delta : Q \times Q \rightarrow 2^Q$  is the transition function,
2.  $\delta_0 : \Sigma \rightarrow 2^Q$  is the initial function,
3.  $F \subseteq Q$  is the final set of states.

## Example

$R: r \rightarrow c b^*$

$c \rightarrow a^+$

$a \rightarrow \text{EMPTY}$

$b \rightarrow \text{EMPTY}$

$\mathcal{R}: \delta(p_c, p_a) \rightarrow q_a$

$\delta(q_a, p_a) \rightarrow q_a$

$\delta(p_r, q_a) \rightarrow \underline{q_b}$

$\delta(\underline{q_b}, p_b) \rightarrow \underline{q_b}$

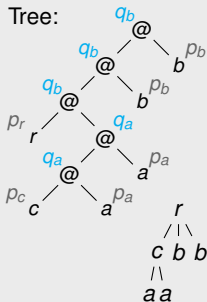
$\delta_0(a) \rightarrow p_a$

$\delta_0(b) \rightarrow p_b$

$\delta_0(c) \rightarrow p_c$

$\delta_0(r) \rightarrow p_r$

Tree:







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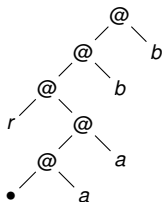
- $L(\mathcal{A}) = \{t \in \text{Trees}(\Sigma) \mid \exists \text{ an accepting run of } \mathcal{A} \text{ over } t\}$ .

- **contexts**.

- **concatenation** between contexts:

$$C_1 \circ C_2.$$

- **run** of  $\mathcal{A}$  on a context  $C$  from  $q$ .



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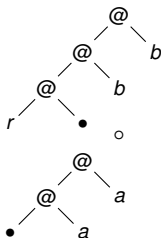
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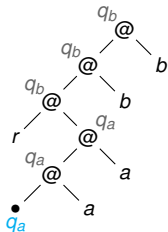
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■ **contexts**.

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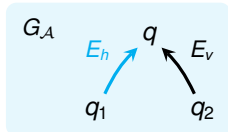
■ **run** of  $\mathcal{A}$  on a context  $C$  from  $q$ .



# Cyclic behavior of stepwise automata (components)

## Definition

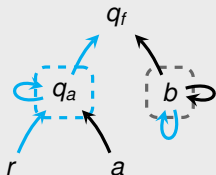
Given  $\mathcal{A} = (Q, \Sigma, \delta, \delta_0, F)$ , the **transition graph** of  $\mathcal{A}$  is the graph  $G_{\mathcal{A}} = (Q, E_h \cup E_v)$  such that for every  $q \in \delta(q_1, q_2)$ :





- $\text{SCC}(\mathcal{A})$  is the set of **strongly connected component**  $X$  of  $G_{\mathcal{A}}$ .
- $L(\mathcal{A} \mid X) = \{C \in \text{context}_{\Sigma} \mid \exists p, q \in X : q \in \delta(p, C)\}$

## Example

$r$	$\rightarrow$	$a^* \cdot b$	$r @ a$	$\rightarrow$	$q_a$
$a$	$\rightarrow$	EMPTY	$q_a @ a$	$\rightarrow$	$q_a$
$b$	$\rightarrow$	$b^*$	$q_a @ b$	$\rightarrow$	$q_f$
			$b @ b$	$\rightarrow$	$b$



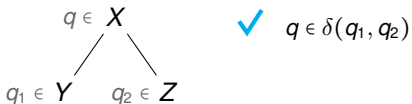
 = horizontal,  = vertical



# Synopsis trees

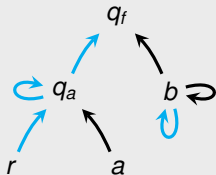
Definition

A **synopsis tree** of  $\mathcal{A}$  is a binary tree with labels in  $\text{SCC}(\mathcal{A})$  that **respect** the transition relation of  $\mathcal{A}$ .

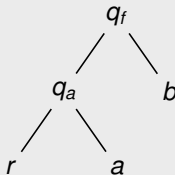


Example

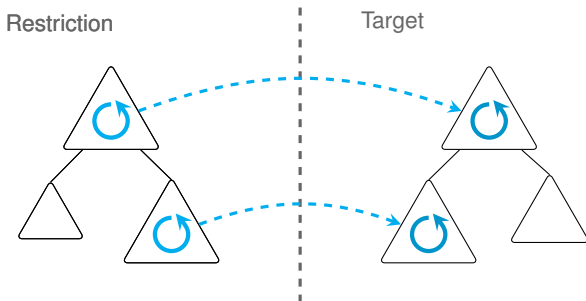
Transition graph of  $\mathcal{A}$ :



Synopsis tree



# How to repair trees? (intuition)



## 1. Cyclic behavior:

- ▶ Stepwise tree automata over curry encoding of trees.
- ▶ Strongly connected components of stepwise tree automata.
- ▶ Tree representation of cyclic behavior (Synopsis trees).

## 2. Mapping:

- ▶ Covering relation between synopsis trees.

# Coverings

## Definition

Given two synopsis trees  $\tau$  of  $\mathcal{R}$  and  $\sigma$  of  $\mathcal{T}$ , we say that  $\sigma$  **covers**  $\tau$  iff there exists a mapping  $\lambda$  from nodes of  $\tau$  to nodes of  $\sigma$ :

1.  $\lambda$  preserves **language containment** of components,

$$L(\mathcal{R} \mid \tau(x)) \subseteq L(\mathcal{T} \mid \sigma(\lambda(x)))$$

2.  $\lambda$  preserves the **post-order** of nodes,

$$x \preceq_{\tau}^{\text{post}} y \text{ iff } \lambda(x) \preceq_{\sigma}^{\text{post}} \lambda(y)$$

3.  $\lambda$  preserves the **ancestors** of vertical nodes,

$$x \preceq_{\tau}^{\text{anc}} y \text{ iff } \lambda(x) \preceq_{\sigma}^{\text{anc}} \lambda(y) \text{ with } x \text{ a vertical node}$$

for every non-trivial nodes  $x$  and  $y$  of  $\tau$ .

# Coverings

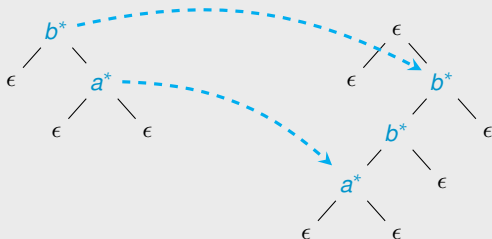
$\sigma$  covers  $\tau$  iff there exists a mapping  $\lambda$  from nodes of  $\tau$  to nodes of  $\sigma$ :

1.  $\lambda$  preserves language containment of components,
2.  $\lambda$  preserves the post-order of nodes, and
3.  $\lambda$  preserves the ancestorship of vertical nodes.

## Example

$R: r \rightarrow c b^*$   
 $c \rightarrow a^*$

$T: r \rightarrow d$   
 $d \rightarrow a^* b^*$



# Outline

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# Main Characterization

## Theorem

$L(\mathcal{R})$  is **bounded repairable** into  $L(\mathcal{T})$  iff every synopsis tree of  $\mathcal{R}$  is **covered** by some synopsis tree of  $\mathcal{T}$ .

Two directions proof:

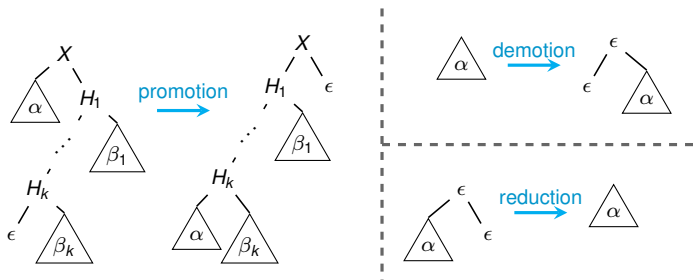
- From repair to covering.
- From covering to repair.

# From covering to repair

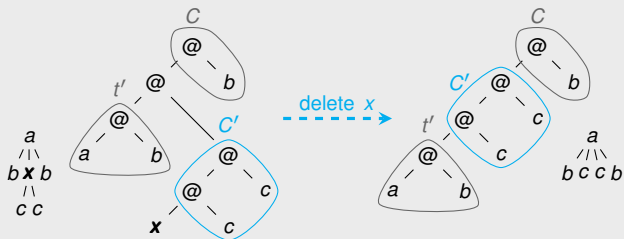
For every tree in  $t \in \mathcal{L}(\mathcal{R})$ :

1. Run  $\mathcal{R}$  and find the synopsis tree  $\tau$  that represents  $t$ .
2. Find a synopsis tree  $\sigma$  in  $\mathcal{T}$  that covers  $\tau$ .
3. Use a set of macro operations over synopsis tree to transform  $\tau$  into  $\sigma$ .
4. Macro operations over synopsis tree preserves bounded repairability.

# Synopsis tree operations

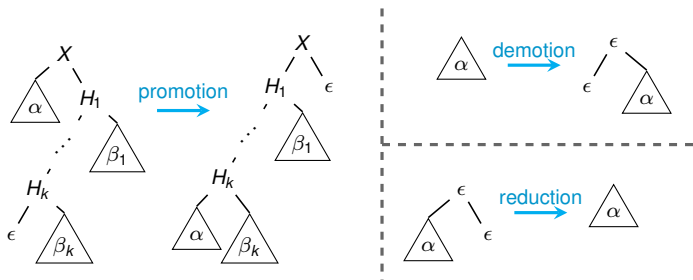


Remark.





# Synopsis tree operations



## Example

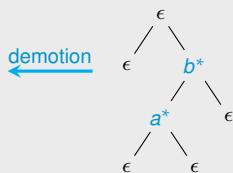
$R: r \rightarrow c b^*$

$c \rightarrow a^*$



$T: r \rightarrow d$

$d \rightarrow a^* b^*$



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# Concluding remarks

- Effective characterization for every pair of regular tree languages.
  - ▶ between *EXPTIME* and  $\Pi_2^{EXP}$  for stepwise automata.
  - ▶ *PSPACE*-hard for deterministic DTD.
  - ▶ in  $\Pi_2^P$  for deterministic DTDs with fixed alphabet.

Future work: bounded streaming repair.

# Bounded repairability for regular tree languages

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